## **JSW HOLDINGS LIMITED**

## SHAREHOLDING PATTERN AS ON 30.09.2013

## (I) (a) Statement showing Shareholding Pattern

SCRIP C	DDE: 532642 Name of Scrip: JSWHL Class of Security: Equity Quarter	Ended : 30.09	9.2013	
Cate-		Number of	As a % of total	As a % of total
gory	Partly paid up shares	partly paid	number of partly	number of shares
Code		up shares	paid up shares	of the Company
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
		Number of	As a % of total	As a % of total
	Outstanding Convertible Securities	outstanding	No. of outstanding	No. of shares of the
		Securities	Con. Securities	Company, assuming
				full conversion of the
				Securities
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
		Number of	As a % of total	As a % of total
		warrants	number of warrants	number of shares
	<u>Warrants</u>			of the Company
				assuming full
				conversion of warrants
	Held by Promoter/Promoter group	NIL	NIL	NIL
	Held by Public	NIL	NIL	NIL
	Total	NIL	NIL	NIL
	Total paid up capital of the Company, assuming full	1,10,99,625	shares of Rs.10/- each	
	conversion of warrants and convertible securities		.11,09,96,250/-)	

Cate-		Number of	Total No.	Number of	Total sharel	nolding as	Share	es pledged or
gory	Category of	Share-	of shares	shares held	a percenta	-		se encumbered
Code	Shareholders	holders	0. 0.14.00	in demated	number o	_	Number of	As a
	- Cinaronoració			form	As a percentage of		Shares	%
				101111	(A+B) <sup>1</sup>	(A+B+C)	Onarcs	,,,
(1)	(11)	( III )	(IV)	(V)	( VI )	(VII)	(VIII)	(IX )=(VIII)/(IV)*100
(A)	Shareholding of Promoter							
	and Promoter Group <sup>2</sup>							
(1)	Indian							
(a)	Individuals/H.U.F	22	28267	28267	0.25	0.25		
(b)	Cental/State Government(s)	0	0	0		0.00		
( c)	Bodies Corporate	18	6174794	6174794	55.63	55.63		
(d)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(e)	Any Other (specify)							
	Employee Welfare Trust	0	0	0	0.00	0.00		
	M&M Benfit Trust	0	0	0	0.00	0.00		
	Sub-Total (A)(1)	40	6203061	6203061	55.88	55.88	N.A.	N.A.
(2)	Foreign							
	Non Resident Individuals/Foreign							
(a)	Nationals							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
. ,	Sub_Total (A)(2)	0	0	0	0.00	0.00	N.A.	N.A
	Total holding of Promoter and							
	Promoter Group	40	6203061	6203061	55.88	55.88	N.A.	N.A
	(A)=(A)(1)+(A)(2)	40	0200001	0200001	55.55	55.55	14.74.	14.74
	(· y=(· y(·)·(· y(=)							
(B)	Public Shareholding3							
(1)	Institutions							
(a)	Mutual Fund/UTI	15	4637	3776	0.04	0.04		
(b)	Financial Institutions/Banks	13	16275	15657	0.15	0.15		
(c)	Central/State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	1	107172	107172	0.97	0.97		
(f)	Foreign Institutional Investors	21	804905	803643	7.25	7.25		
(g)	Foreign Venture Cap. Inv	0	0	0	0.00	0.00		
(5)	Sub-Total (B)(1)	50	932989	930248	8.41	8.41	N.A.	N.A
(2)	Non Institutions							
(a)	Bodies Corporate	944	916957	912743	8.26	8.26		
(b)	Individuals							
(-)	i) Holding nominal share capital							
	upto Rs. 1 lakh	32849	2507191		22.59	22.59		
	ii) Holding nominal share capital							
	in excess of Rs. 1 lakh	12	292443	292443	2.63	2.63		
( c)	Any Other(specify) Individual			Ī		· ·		
	Non-Resident Individual	1391	235967	198517	2.13	2.13		
	Foreign National	0	0	0	0.00	0.00		
	Overseas Corporate Bodies	2	10025	10000	0.09	0.09		
	Foreign Bodies	0	0	0	0.00	0.00		
	Trust	3	992	992	0.01	0.01		
	Clearing Member	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	35201	3963575	1414695	35.71	35.71	N.A.	N.A
	Total Public shareholding							
	(B)=(B)(1)+(B)(2)	35251	4896564	2344943	44.12	44.12		
	TOTAL (A)+(B)	35291	11099625	10849272	100.00	100.00		N.A
( C)	Shares held by Custodians and	55251		.55-102.72	.00.00		,	.4071
( -)	against which Depository							
	Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0.00	0.00		
		U	U					<del> </del>
2	Public	0	0	0	0.00	0.00		

Sr.No.	Name of the shareholder	Details of S	hares held	Enc	umbered share	es(*)	Details (	of warrants		convertible urities	Total shares (including underlying
		No of Shares held	As a % of grand total (A)+(B)+(C)	Number	Percentage	As a % of grand total (A)+(B)+(C) of sub- clause (I)(a)	No of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	shares assuming full conversion of warrants and convertible securities as a % of diluted share capital
(1)	(11)	(III)	(IV)	(V)	( VI ) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
	Individuals				7. 7	, ,	, ,	T '	. ,	` '	, ,
	ABHYUDAY JINDAL	1256	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
2	AARTI JINDAL	250	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
3	DEEPIKA JINDAL	1356	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
4	NAVEEN JINDAL	691	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
5	NAVEEN JINDAL	664	0.01	0	0.00	0.00	NA	NA	NA	NA	0.01
6	PARTH JINDAL	500	0.00	0	0.00	0.00	NA	NA	NA	NA	0.00
	PRITHVI RAJ JINDAL	2097	0.02	0	0.00			NA	NA	NA	0.02
	PRITHVI RAJ JINDAL	1122	0.01	0	0.00			NA	NA	NA	0.01
	RATAN JINDAL	3708	0.03	0	0.00			NA	NA	NA	0.03
	RATAN JINDAL	1470	0.01	0	0.00			NA	NA	NA	0.01
	SAJJAN JINDAL	2197	0.02	0	0.00			NA	NA	NA	0.02
	S K JINDAL AND SONS HUF	1447	0.01	0	0.00			NA	NA	NA	0.01
	SANGITA JINDAL	1181	0.01	0	0.00			NA	NA	NA	0.01
	SAROJ BHARTIA	34	0.00	0	0.00			NA	NA	NA	0.00
	SAVITRI DEVI JINDAL	1863	0.02	0	0.00			NA	NA	NA	0.02
	SHRADHA JATIA	1257	0.01	0	0.00			NA	NA	NA	0.01
	SMINU JINDAL	1381	0.01	0	0.00			NA	NA	NA	0.01
	TANVI JINDAL	500	0.00	0	0.00			NA	NA	NA	0.00
	TARINI JINDAL	1256	0.01	0	0.00			NA	NA	NA	0.01
	TRIPTI JINDAL	1256	0.01	0	0.00			NA	NA	NA	0.01
	URMILA BHUWALKA	1525	0.01	0	0.00			NA	NA	NA	0.01
22	URVI JINDAL	1256	0.01	0	0.00			NA	NA	NA	0.01
	Sub - Total (a)	28267	0.25	0	0.00	0.00	NA	NA	NA	NA	0.25

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group" (contd)

Sr.No.	Name of the shareholder	Details of S				.,	Details of		Details of convertible securities  Number of As a % of		Total shares (including underlying shares
		held	grand total (A)+(B)+(C)	Number	Percentage	grand total (A)+(B)+(C) of sub- clause (I)(a)	warrants held	total number of	convertible	total number of convertible securities of the same class	assuming full conversion of warrants and convertible securities as a % of diluted share capital
	, <b>.</b>	, <b>.</b>			(VI)=					940	0.00
(1)	(11)	(     )	(IV)	(V)	(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI) NA	(XII)
	Abhinandan Investments Ltd	143262	1.29	0	0.00			NA	NA NA	NA NA	1.29 2.05
	Colorado Trading Company Ltd Gagan Trading Company Ltd.	227060 538137	2.05 4.85	0	0.00			NA NA	NA NA	NA NA	2.05 4.85
	Jindal Equipment Leasing &	336137	4.00		0.00	0.00	INA	INA	INA	INA	4.00
	Consultancy Services Ltd	419584	3.78	0	0.00	0.00	NA	NA	NA	NA	3.78
	Nalwa Sons Investments Ltd	75	0.00	0		0.00		NA NA	NA NA	NA NA	0.00
	Jindal Holdings Ltd	132095	1.19	0		0.00		NA	NA NA	NA NA	1.19
	Mansarover Investments Ltd	267637	2.41	0		0.00		NA	NA NA	NA NA	2.41
	Meredith Traders Pvt. Ltd.	3075	0.03	0		0.00		NA	NA	NA	0.03
	Nalwa Investments Ltd	273587	2.46	0				NA	NA	NA	2.46
10	Nalwa Sons Investments Ltd	1137043	10.24	0		0.00		NA	NA	NA	10.24
11	Reynold Traders Pvt. Ltd	320500	2.89		0.00	0.00	NA	NA	NA	NA	2.89
	Rishikesh Finlease & Investments Pvt. Ltd.	1500	0.01	O	0.00	0.00	NA	NA	NA	NA	0.01
13	Stainless Investments Ltd	182734	1.65	0	0.00	0.00	NA	NA	NA	NA	1.65
14	Sun Investments Pvt. Ltd.	365407	3.29	0	0.00	0.00	NA	NA	NA	NA	3.29
15	Vrindavan Services Pvt. Ltd.	642400	5.79	0	0.00	0.00	NA	NA	NA	NA	5.79
16	Hexa Securities & Finance Co Ltd	94214	0.85	0	0.00	0.00	NA	NA	NA	NA	0.85
17	Hexa Tradex Limited	334	0.00	0	0.00	0.00		NA	NA	NA	0.00
18	Groovy Trading Private Limited	1426150	12.85	0	0.00	0.00	NA	NA	NA	NA	12.85
	Sub-Total (b)	6174794	55.63	0	0.00	0.00	NA	NA	NA	NA	55.63
	Grand Total	6203061	55.88	0	0.00	0.00	NA	NA	NA	NA	55.88

<sup>(\*)</sup> The term "encumbrance" has the same meaning as assigned to it, in regulation 28(3) of the SAST Regulations , 2011

Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

Sr. No.	Name of the	Number of	Shares as a	Details of	warrants	Details of c	onvertible securities	Total shares
	shareholder	shares	percentage of	No of	As a % of	Number of	As a % of total	(including
		held	_	warrants	of warrants	convertible	number of convertible	underlying shares assuming full conversion of warrants and convertible securities as a %
			of shares {i.e.,	held		securities		
			Grand Total (A)+(B)+(C) indicated in			held	securities of the	
							same class	
			Statement at					
		para (I)(a)					of diluted share	
			above}					capital
1	ACACIA PARTNERS LP							
		219500	1.98	NA	NA	NA	NA	1.98
2	ACACIA INSTITUTIONAL							
	PARTNERS,LP							
		171200	1.54	NA	NA	NA	NA	1.54
3	BARCLAYS CAPITAL MAURITIUS LIMITED							
		150264	1.35					1.35
	TOTAL	540964	4.87	NA	NA	NA	NA	4.87

.,, ,, ,	Statement showing ho PAC) belonging to the	_	•		•		,	
Sr.No.	Name(s) of the	Number of	Shares as a	Details	of warrants	Details of o	convertible securities	Total shares
	shareholder(s) and the Persons Acting in Concert (PAC) with them	shares	total number of	warrants	number of warrants of the	Number of convertible securities held	As a % of total number of convertible securities of the same class	(including underlying shares assuming full conversion of warrants and
				N	İL			

(1) (d) Statement showing details of locked in shares

Sr. No.	Name of shareholder		Total(A)+(B)+C) indicated in	Promoter / Promoter Group / Public
	NIL	NIL	0.00	
	TOTAL	0	0.00	

(II) (a)	Statement showing deta	ails of Depository Rece	ipts (DRS)	
Sr. No.	Type of outstanding Dr(ADRs, GDRs, SDRs, etc)	No. of DRs	No. of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}
			N.A.	
	TOTAL			

(II) (b)	Statement showing hole group" are in excess of			ares held by "promoter / promoter
Sr. No.	Name of the DR Holder	Type of outstanding DR(ADRs, GDRs, SDRs, etc.)	No. of shares underlying outstanding DRs.	Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above}
			N.A.	
	TOTAL			

(III) (a) ategory	Statement showing the voting pattern the issuer (Give description of voting Category of shareholder		n class of se	ecurity Cla				
ode	outegory or smaremonder		ss of securi		Voting Rights	i.e. (VI)		
		<del>-  </del>			+	As a %	As a %	
		Class X	Class Y	Class Z	(III+IV+V)	of (A+B)	of (A+B+C)	
(1)	(    )	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	
(A)	Promoter and Promoter Group	(,	( ,	( - /	( /	( /	( ,	
(1)	Indian							
(a)	Individuals/Hindu Undivided Family							
(b)	Central/State Government(s)							
(c)	Bodies Corporate							
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
, ,	Sub-Total (A) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Foreign							
(a)	Individuals (Non Resident/Foreign)			<u> </u>		1		
(b)	Bodies Corporate							
(c)	Institutions							
( d )	Any Other (specify)							
	Sub-Total (A)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	Total Shareholding of Promoter							
	and Promoter Group (A)=(A)(1) +							
	(A)(2)							
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds/UTI							
(b)	Financial Institutions/Banks							
(c)	Central/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies							
(f)	Foreign Institutional Investors							
(g)	Foreign Venture Capital Investors							
(h)	Any Other (specify)							
	Sub-Total (B) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(2)	Non Institutions							
(a)	Bodies Corporate							
(b)	Individuals							
	i. Individual shareholders holding							
	nominal share capital upto							
	Rs. 1 lakh							
	ii.Individual shareholders holding							
	nominal share capital in excess							
	of Rs. 1 lakh.							
(c)	Any Other (specify)							
	Sub-Total (B) (2)							
	Total Public shareholding (B)=(B)(1)+(B)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
	TOTAL (A) + (B)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	
(C)	Shares held by Custodians and	1 1			**			
\ - <i>I</i>	against which Depository Receipts							
	GRAND TOTAL ( A )+( B )+( C )	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	